

PATENT

## DORSEY &amp; WHITNEY LLP

US BANK CENTRE  
1420 5TH AVENUE, SUITE 3400  
SEATTLE WA 98101  
FACSIMILE COVER SHEET  
(206) 903-8820

September 3, 2002

TO: Examiner Joseph J. Hail, III  
Commissioner for Patents  
Washington, D.C. 20231

FAX # (703) 746-3267  
TELEPHONE: (703) 308-2687

FROM: Mark W. Roberts, Esq.

TELEPHONE : (206) 903-8800 Main; (206) 903-8728 Direct

Applicant : Jason B. Elledge Attorney Docket No.: 500188.02  
Serial No. : 09/616,794 Group Art Unit : 3723  
Filed : July 14, 2000 Examiner : Willie Wendell Berry, Jr.  
Title : APPARATUS FOR IN-SITU OPTICAL ENDPOINTING ON WEB-FORMAT  
PLANARIZING MACHINES IN MECHANICAL OR CHEMICAL-MECHANICAL  
PLANARIZATION OF MICROELECTRONIC-DEVICE SUBSTRATE ASSEMBLIES  
AND METHODS FOR MAKING AND USING SAME

Date Sent : February 11, 2002

Date Due

: March 31, 2002

I hereby certify that the attached is a true copy of an Amendment mailed to the USPTO on February 11, 2002, addressed to Commissioner for Patents, Washington, DC, 20231, in response to an Office Action received from the USPTO dated December 31, 2001. Submitted herewith is a copy of the Amendment and a copy of the return receipt postcard date stamped by the USPTO acknowledging receipt of the Amendment on February 26, 2002. As the Amendment was filed in a timely manner, Applicant hereby requests the USPTO withdraw the Notice of Abandonment dated August 15, 2002.

Date

  
Mark W. Roberts, Ph.D.  
Registration No. 46,160

## Documents Attached for Filing:

	<u>No. Pages</u>
Copy of Originally Submitted Postcard and Copy of Return Receipt Postcard Date Stamped February 26, 2002 by the USPTO	1
Copy of Originally Submitted Check	1
Two Copies of Originally Submitted Fee Transmittal Sheet	2
Copy of Originally Submitted Amendment	16
<u>Total Number of Pages</u> (including cover sheet):	21

Original will not be resent via mail.

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PLEASE CONTACT Stephanie Jansen AT (206)903-8748 IF TRANSMISSION IS INCOMPLETE OR CANNOT BE READ.

500188.02  
MWR:sj

Commissioner for Patents  
Washington, DC 20231

SENT: February 11, 2002  
DUE: March 31, 2002

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your receiving stamp hereon and mailing:

Check; Fee Transmittal Sheet (+ copy); Amendment; in re: Jason B. Elledge,  
USAN 09/616,794, filed July 14, 2000, for APPARATUS FOR IN-SITU OPTICAL  
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MECHANICAL OR CHEMICAL-MECHANICAL PLANARIZATION OF  
MICROELECTRONIC-DEVICE SUBSTRATE ASSEMBLIES AND METHODS FOR  
MAKING AND USING SAME.

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DORSEY & WHITNEY LLP



500188.02  
MWR:sj

Commissioner for Patents  
Washington, DC 20231

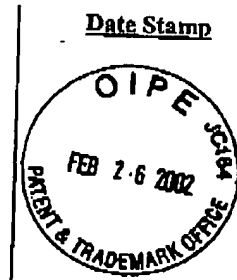
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DORSEY & WHITNEY LLP



500188.02  
USAN: 09/616,794DORSEY & WHITNEY LLP  
U.S. BANK CENTRE  
1420 5TH AVENUE, SUITE 3400  
SEATTLE, WA 98101-4010  
(206) 903-8800

February 11, 2002

PAY TO THE  
ORDER OF

COMMISSIONER OF PATENTS AND TRADEMARKS

\$ 372.00

NOT OVER \$3,000

\*\*\*\*\* 8 \*\*\*\*\* Three Hundred Seventy Two and no/100 \*\*\*\*\* DOLLARS.

usbank.

17-2910

NON-NEGOTIABLE

DORSEY & WHITNEY LLP.  
SEATTLE, WA 98101-4010

## DETACH AND RETAIN THIS STATEMENT

DATE	DESCRIPTION	AMOUNT
2/11/02	Docket No.: 500188.02 USAN : 09/616,794 Applicant : Jason B. Elledge title : APPARATUS FOR IN-SITU OPTICAL ENDPOINTING ON WEB-FORMAT PLANARIZING MACHINES IN MECHANICAL OR CHEMICAL-MECHANICAL PLANARIZATION OF MICRO- ELECTRONIC-DEVICE SUBSTRATE ASSEMBLIES AND METHODS FOR MAKING AND USING SAME <u>Fee for Extra Claims in Response to Office Action</u> Total Claims(36/16 extra) \$ 288 Ind. Claims (4/1 extra) 84 TOTAL \$372.00 MWR:sj 446602-909	

# FEE TRANSMITTAL SHEET (FOR FY 2001)

Complete if Known

Application No. 09/616,794  
 Filing Date July 14, 2000  
 First Named Inventor Jason B. Elledge  
 Group Art Unit 3723  
 Examiner Willie Berry, Jr.  
 Atty. Docket Number 500188.02

## METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 60-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☒ Check Enclosed

## FEE CALCULATION (Continued)

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description
	101	740		201	370	<input type="checkbox"/> Utility Filing Fee
	102	330		202	165	<input type="checkbox"/> Design Filing Fee
	103	740		203	370	<input type="checkbox"/> Reissue Filing Fee
	104	160		214	80	<input type="checkbox"/> Provisional Filing Fee

Subtotal (1) \$ 0

## 2. EXTRA CLAIM FEES

Current Claims	Prior	Extra	Fee	Fee Paid
Total	36	-	20	= 16 x \$ 18 = \$ 288
Ind.	4	-	3	= 1 x \$ 84 = \$ 84
Multiple Dependent Claims				x \$ = \$

Subtotal (2) \$ 372

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description
	103	18		203	9	Claims in excess of 20
	102	64		202	42	Independent claims in excess of 3
	104	280		204	140	Multiple dependent Claim
	109	60		209	40	Reissue independent claims over original patent
	110	18		210	9	Reissue claims in excess of 20 and over original patent

## 3. ADDITIONAL FEES

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee paid
	105	130		205	65	Surcharge - Late filing fee or oath	\$
	127	50		227	25	Surcharge - late provisional filing fee or cover sheet	\$
	139	130		139	130	Non-English specification	\$
	147	2,520		147	2,520	For Filing a Request for Reexamination	\$
	165	300		196	300	Publication (early or Republication)	\$
	115	110		215	55	Extension for reply within first month	\$
	116	400		216	200	Extension for reply within 2 <sup>nd</sup> month	\$
	117	920		217	460	Extension for reply within 3 <sup>rd</sup> month	\$
	118	1,440		218	720	Extension for reply within 4 <sup>th</sup> month	\$
	128	1,860		230	980	Extension for reply within 5 <sup>th</sup> month	\$
	120	320		220	160	Filing a brief in support of an appeal	\$
	121	280		270	140	Request for oral hearing	\$
	148	110		248	55	Terminal Disclaimer Fee	\$
	140	110		240	55	Petition to revive - unavoidable	\$
	141	1,280		241	640	Petition to revive - unintentional	\$
	142	1,280		242	640	Utility/Reissue issue fee (+ advance copies)	\$
	143	460		243	230	Design issue fee (+ advance copies)	\$
	122	130		122	130	Petitions to the Commissioner	\$
	123	50		123	50	Petitions related to provisional applications	\$
	126	180		126	180	Submission of IDS	\$
	158	40		81	40	Recording each patent assignment per property (times number of properties)	\$
	178	740		278	370	Request for Continued Examination (RCE)	\$
Other fee (specify)							\$

Subtotal (3) \$ 0

Total Amount of Payment: \$ 372

Submitted by:

Name: Mark W. Roberts, Ph.D.

Reg. No.: 46,160

Telephone: (206) 903-8728

Signature: *Mark W. Roberts*

Date: 2-11-02

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# FEE TRANSMITTAL SHEET (FOR FY 2001)

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First Named Inventor Jason B. Elledge  
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112	160	214	80	<input type="checkbox"/> Provisional Filing Fee	
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Submitted by:

Name: Mark W. Roberts, Ph.D.

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